

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

William M. PARROTT

Serial No: Not yet assigned

Filed: August 30, 1999

For: **SECURE TRANSACTION MODEM**Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application identified above.

- ☒ 3 sheets of drawings (☐ formal ☒ informal) (are) enclosed.
- ☒ 18 pages of specification and 1 page of abstract of the invention are enclosed.
- ☐ An assignment of the invention to Xircom, Inc. ☐ is enclosed ☒ will follow.
- ☐ An associate power of attorney ☐ is enclosed ☐ will follow.
- ☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 & 1.27 is enclosed.
- ☐ Declaration and Power of Attorney ☐ is enclosed ☒ will follow.
- ☐ A certified copy of \_\_\_ Patent Application No. \_\_\_ filed \_\_\_ from which priority is claimed under 35 U.S.C. § 119 is enclosed.
- ☐ IDS enclosed (☐ with references).
- ☐ Preliminary Amendment is enclosed.

## CALCULATION OF FEES

ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE		-20	0	LG=\$18 SM=\$9	\$	\$ 0	
B	INDEPENDENT CLAIMS FEE*		-3	0	LG=\$78 SM=\$39	\$	\$ 0	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							\$ 0
D	MULTIPLE-DEPENDENT CLAIMS FEE				LARGE ENTITY FEE = \$260 SMALL ENTITY FEE = \$130		\$	
E	BASIC FEE				LARGE ENTITY FEE = \$760 SMALL ENTITY FEE = \$380		\$	
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 0
G	ASSIGNMENT RECORDING FEE							\$
	*LIST INDEPENDENT CLAIMS [LIST OF INDEPENDENT CLAIMS]							

- ☐ A check in the amount of \$ 0 to cover the filing fee is enclosed.
- ☐ A check in the amount of \$      to cover Assignment Recordation fee is enclosed.

☐ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-1820. **A copy of this sheet is enclosed.**

☐ Any additional filing fees required under 37 C.F.R. § 1.16

☐ Any patent application processing fees under 37 C.F.R. § 1.17

Respectfully submitted,

LOEB & LOEB LLP

By: 

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Registration No. 36,312

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Date: 30 August, 1999

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**William M. PARROTT**

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Art Unit: Unknown

Examiner: Unknown

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

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Date of Deposit: August 30, 1999

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☐ check in amount of \$\_\_\_ as filing fee
- ☒ patent application ( 18 pages of specification; 21 claims; 1 page of abstract
- ☒ 3 sheets of informal drawings
- ☒ Declaration and Power of Attorney (unexecuted)
- ☐ assignment of the invention to Xircom, Inc.
- ☐ Verified Statement (Declaration) Claiming Small Entity Status
- ☐ certified copy of \_\_\_ patent application No. \_\_\_ which was filed \_\_\_ from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119
- ☐ Preliminary Amendment
- ☒ Information Disclosure Statement with 20 references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

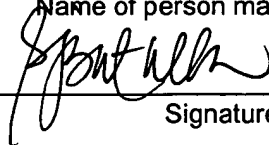
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Assistant Commissioner for Patents  
Washington, D.C. 20231.

Date: 30 August 1999

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Sherry J. Batalla

Name of person mailing papers



Signature